

# Physical Layer

Shivkumar Kalyanaraman  
Rensselaer Polytechnic Institute  
shivkuma@ecse.rpi.edu

<http://www.ecse.rpi.edu/Homepages/shivkuma>

Rensselaer Polytechnic Institute Based in part upon the slides of Prof. Raj Jain (OSU) Shivkumar Kalyanaraman

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- ❑ **The physical layer problem**
- ❑ **Theory:** Frequency vs time domain, Information theory, Nyquist criterion, Shannon's theorem
- ❑ **Link characteristics:** bandwidth, error rate, attenuation, dispersion
- ❑ **Transmission Media:**
  - ❑ UTP, Coax, Fiber
  - ❑ Wireless: Satellite

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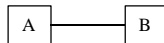
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## The physical layer problem

- ❑ Two nodes communicating on a "link or medium". What does it take to get "bits" across the "link or medium" ?



- ❑ This means understanding the physical characteristics (aka parameters) and limitations of the link, and developing techniques and components which allow cost-effective bit-level communications.

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## What is information, mathematically ?

- ❑ Answer given by Shannon's Information Theory
- ❑ **Information is created when you reduce uncertainty**
  - ❑ So, can we **quantify information** ?
  - ❑ If  $X$  is a discrete random variable, with a range  $R = \{x_1, x_2, \dots\}$ , and  $p_i = P\{X = x_i\}$ , then:
    - ❑  $\sum_{i=1}^{\infty} (-p_i \log p_i) = \text{a measure of "information"}$  provided by an observation of  $X$ .
      - ❑ This is called the "**entropy**" function.
      - ❑ The entropy function also happens to be a measure of the "**uncertainty**" or "**randomness**" in  $X$ .

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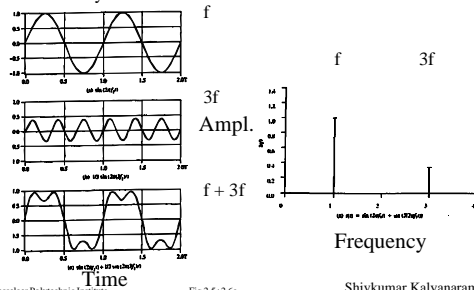
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## Time Domain vs Frequency Domain

Frequency domain is useful in the analysis of linear, time-invariant systems.



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## Why Frequency Domain ?

### Ans: Fourier Analysis

- ❑ Can write any periodic function  $g(t)$  with period  $T$  as:
  - ❑  $g(t) = 1/2 c + \sum a_n \sin(2\pi nft) + \sum b_n \cos(2\pi nft)$
  - ❑  $f = 1/T$  is the fundamental frequency
  - ❑  $a_n$  and  $b_n$  amplitudes can be computed from  $g(t)$  by integration
- ❑ You find the component frequencies of sinusoids that it consists of...
  - ❑ The range of frequencies used = "**frequency spectrum**"
  - ❑ Digital (DC, or **baseband**) signals require a large spectrum
  - ❑ Techniques like **amplitude, frequency or phase modulation** use a sinusoidal carrier and a smaller spectrum
  - ❑ The **width** of the spectrum (**band**) available: "**bandwidth**"

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## Bits/s vs Baud vs Hertz

- Data rate vs signal rate vs Bandwidth
- Information is first coded using a “**coding**” scheme, and then the code (called “signal”) is mapped onto the available bandwidth (Hz) using a modulation scheme.
- **Signal rate** (of the code) is the number of **signal element** (voltage) **changes** per second. This is measured in “**baud**.” The signal rate is also called “**baud-rate**”.
- Each baud could encode a variable number of bits. So, the “**bit rate**” of the channel (measured in **bits/sec**) is the maximum number of bits that can be coded using the coding scheme and transmitted on the available bandwidth.
  - **The bit-rate is a fundamental link parameter.**

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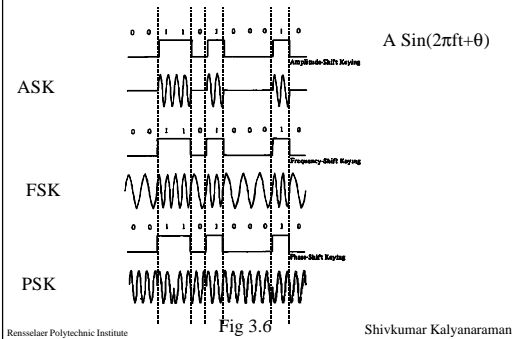
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## Modulation techniques



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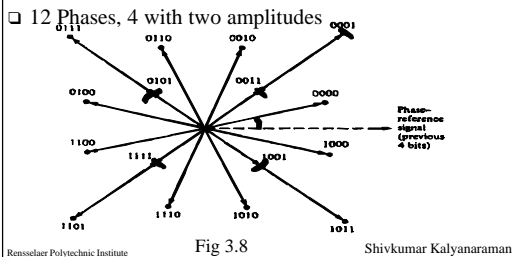
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## Application: 9600 bps Modems

- 4 bits  $\Rightarrow$  16 combinations
- 4 bits/element  $\Rightarrow$  1200 baud
- 12 Phases, 4 with two amplitudes



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### Coding Terminology

- Signal element: Pulse
- Signal Rate: 1/Duration of the smallest element  
=Baud rate
- Data Rate: Bits per second
- Data Rate = F(Bandwidth, encoding, ...)
- Bounds given by Nyquist and Shannon theorems...
- Eg signaling schemes: Non-return to Zero (NRZ), Manchester coding etc

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### Coding Formats

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### Coding Formats

- **Nonreturn-to-Zero-Level (NRZ-L)**
  - 0= high level
  - 1= low level
- **Nonreturn to Zero Inverted (NRZI)**
  - 0= no transition at beginning of interval (one bit time)
  - 1= transition at beginning of interval
- **Manchester**
  - 0=transition from high to low in middle of interval
  - 1= transition from low to high in middle of interval
- **Differential Manchester**
  - Always a transition in middle of interval
  - 0= transition at beginning of interval
  - 1= no transition at beginning of interval

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## Limits of Coding: Nyquist's Theorem

- ❑ Says that you cannot stretch bandwidth to get higher and higher data rates indefinitely. There is a limit, called the Nyquist limit (Nyquist, 1924)
- ❑ If bandwidth =  $H$ ; signaling scheme has  $V$  discrete levels, then:
  - ❑ **Maximum Data Rate** =  $2 H \log_2 V$  bits/sec
- ❑ **Implication 1:** A noiseless 3 kHz channel cannot *transmit* binary signals at a rate exceeding 6000 bps
- ❑ **Implication 2:** This means that binary-coded signal can be completely *reconstructed* taking only 2  $H$  samples per second

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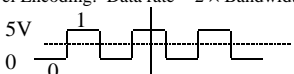
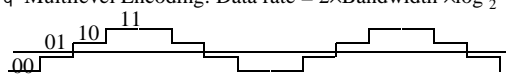
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## Nyquist's Theorem (Cont)

- ❑ **Nyquist Theorem:** Bandwidth =  $H$   
Data rate  $\leq 2 H \log_2 V$
  - ❑ Bilevel Encoding: Data rate =  $2 \times$  Bandwidth
- 
- ❑ Multilevel Encoding: Data rate =  $2 \times \text{Bandwidth} \times \log_2 V$
- 
- Example:**  $V=4$ , Capacity =  $4 \times$  Bandwidth  
So, can we have  $V \rightarrow \text{infinity}$  to extract infinite data rate out of a channel?

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## Digitization & quantization in telephony

- ❑ The Nyquist result is used in digitization where a voice-grade signal (of bandwidth 4 kHz) is sampled at 8000 samples/s.
  - ❑ The inter-sample time (125 usec) is a well-known constant in telephony.
- ❑ Now each of these analog sample is digitized using 8 bits
  - ❑ These are also called **quantization levels**
  - ❑ This results in a 64kbps voice circuit, which is the basic unit of multiplexing in telephony.
    - ❑ T-1/T-3, ISDN lines, SONET etc are built using this unit
- ❑ If the quantization levels are *logarithmically spaced* we get better resolution at low signal levels. Two ways:
  - ❑ ***μ-law*** (followed in US and Japan), and ***A-law*** (followed in rest of world)  $\Rightarrow$  all international calls must be remapped.

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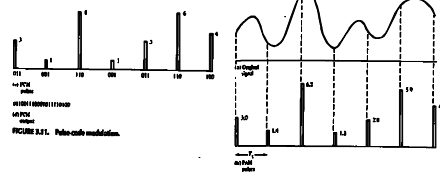
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## Telephony digitization: contd

- Sampling Theorem:  $2 \times \text{Highest Signal Frequency}$
- 4 kHz voice = 8 kHz sampling rate  
 $8 \text{ k samples/sec} \times 8 \text{ bits/sample} = 64 \text{ kbps}$
- Quantizing Noise:  $S/N = 6n - a \text{ dB}$ ,  $n$  bits,  $a = 0$  to 1



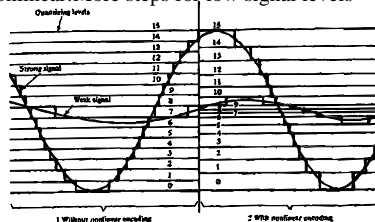
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## Nonlinear Encoding

- Linear: Same absolute error for all signal levels
- Nonlinear: More steps for low signal levels



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Fig 3.13

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## Effect of Noise: Shannon's Theorem

- Bandwidth =  $H$  Hz  
Signal-to-noise ratio =  $S/N$
- **Maximum data rate** =  $H \log_2 (1 + S/N)$
- Example: Phone wire bandwidth = 3100 Hz  
 $S/N = 1000$   
Maximum data rate =  $3100 \log_2 (1 + 1000)$   
 $= 30,894 \text{ bps}$

This is an *absolute limit*. In reality, you can't get very close to the Shannon limit.

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## Decibels

□ Attenuation =  $\log_{10} \frac{P_{in}}{P_{out}}$  Bel

q Attenuation =  $10 \log_{10} \frac{P_{in}}{P_{out}}$  deciBel

q Attenuation =  $20 \log_{10} \frac{V_{in}}{V_{out}}$  deciBel Since  $P=V^2/R$

q **Example 1:**  $P_{in} = 10 \text{ mW}$ ,  $P_{out} = 5 \text{ mW}$   
Attenuation =  $10 \log_{10} (10/5) = 10 \log_{10} 2 = 3 \text{ dB}$

q **Example 2:**  $S/N = 30 \text{ dB} \Rightarrow 10 \log_{10} S/N = 30$ , or,  
 $\log_{10} S/N = 3$ .

$S/N = 10^3$

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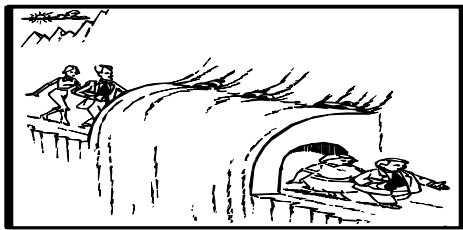
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## Other link issues: Attenuation, Dispersion



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Distance →

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## Real Media: Twisted Pair

- Unshielded Twisted Pair (UTP)
  - Category 3 (Cat 3): Voice Grade. Telephone wire. Twisted to reduce interference
  - Category 4 (Cat 4)
  - Category 5 (Cat 5): Data Grade. Better quality. More twists per centimeter and Teflon insulation. 100 Mbps over 50 m possible

- Shielded Twisted Pair (STP)

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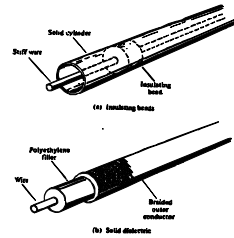
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## Coaxial Cable



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Fig 2.20

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## Baseband Coaxial Cable

- ❑ Better shielding  
⇒ longer distances and higher speeds
- ❑ 50-ohm cable used for digital transmission
- ❑ Construction and shielding  
⇒ high bandwidth and noise immunity
- ❑ For 1 km cables, 1-2 Gbps is feasible
- ❑ Longer cable ⇒ Lower rate

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## Broadband Coaxial Cable (Cont)

- ❑ 75-ohm cable used for analog transmission (standard cable TV)
- ❑ Cables go up to 450 MHz and run to 100 km because they carry analog signals
- ❑ System is divided up into multiple channels, each of which can be used for TV, audio or converted digital bitstream
- ❑ Need analog amplifiers to periodically strengthen signal

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- ❑ Dual cable systems have 2 identical cables and a head-end at the root of the cable tree
- ❑ Other systems allocate different frequency bands for inbound and outbound communication, e.g. subsplit systems, midsplit systems

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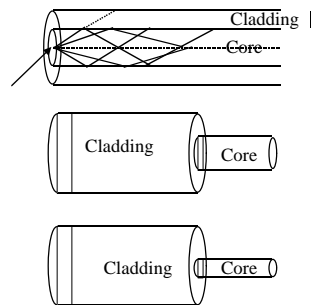
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## Optical Fiber

- ❑ Index=Index of refraction  
=Speed in Vacuum/  
Speed in medium
- Modes
- ❑ Multimode
- ❑ Single Mode




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## Fiber Optics

- ❑ With current fiber technology, the achievable bandwidth is more than 50,000 Gbps
- ❑ 1 Gbps is used because of conversion from electrical to optical signals
- ❑ Error rates are negligible
- ❑ Optical transmission system consists of light source, transmission medium and detector

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- ❑ Pulse of light indicates a 1-bit and absence 0-bit
- ❑ Detector generates electrical pulse when light falls on it
- ❑ Refraction traps light inside the fiber
- ❑ Fibers can terminate in connectors, be spliced mechanically, or be fused to form a solid connection
- ❑ LEDs and semiconductor lasers can be used as sources
- ❑ Tapping fiber is complex  $\Rightarrow$  topologies such as rings or passive stars are used

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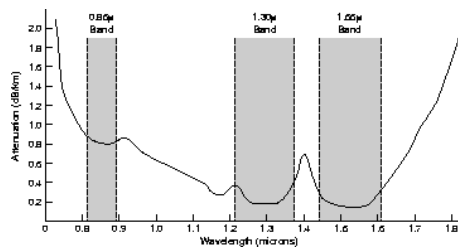
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## Wavelength Bands

- ❑ 3 wavelength bands are used



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Fig 2-6

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## Wireless Transmission

- ❑ The Electromagnetic Spectrum
- ❑ Radio Transmission
- ❑ Microwave Transmission
- ❑ Infrared and Millimeter Waves
- ❑ Lightwave Transmission
- ❑ Satellite Transmission

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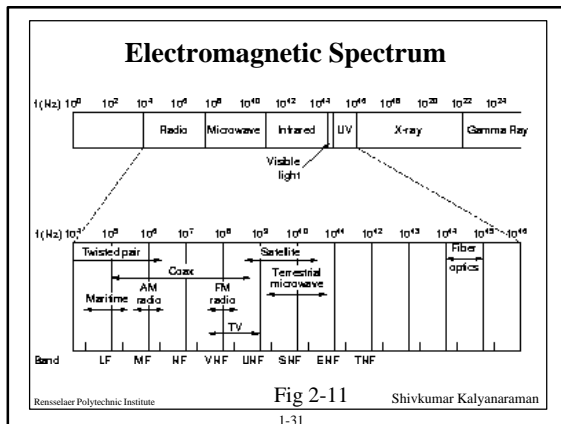
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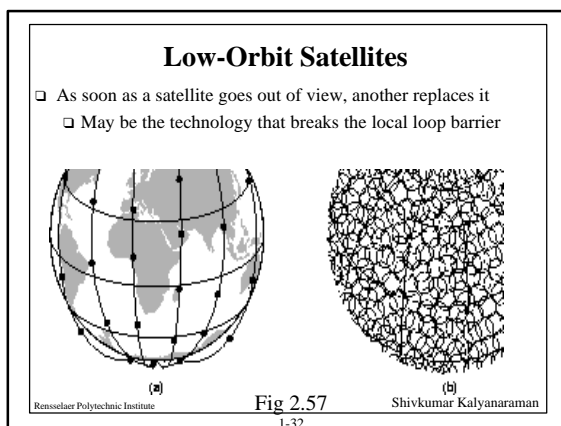
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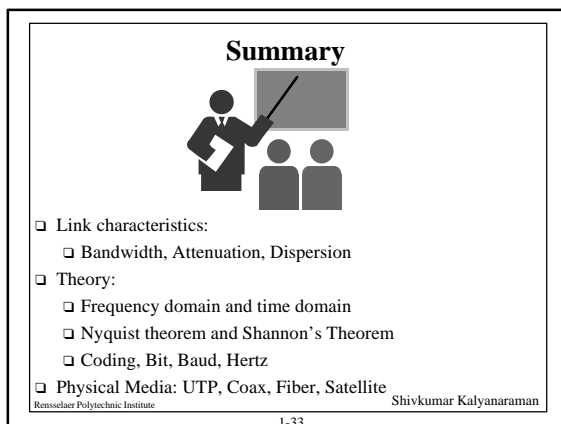
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