

# **Embedded Set Partition Coding**

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# Outline

- SPECK (Set Partition Embedded bloCK)  
coding
- Features
  - Low complexity
  - Embeddedness
  - Implementation issues
- Results and Images
- Conclusions



# Low Complexity Set Partitioning Embedded Coder: SPECK

- SPECK discovered by Islam and Pearlman (VCIP'99, Proc. SPIE 3653) and possibly others
  - Partitions and codes sets of coefficients grouped within subbands
- Can encode any grouping of pixels or coefficients
- Bitstream is *embedded* – lower rate image can be decoded from subset of bitstream

# Partitioning of Significant Set $S$ into Offspring

Operate through significance decisions for partitioning sets of {wavelet transform} coefficients.

Sets and partitioning rules differ between SPECK and SPIHT.

Significance test for pixels  $c_{i,j}$  in set  $S$

$$S_n(S) = \begin{cases} 1, & \text{if } \left( \max_{(i,j) \in S} |c_{ij}| \right) \geq 2^n, \\ 0, & \text{otherwise.} \end{cases}$$

$O_0(S)$	$O_1(S)$
$O_2(S)$	$O_3(S)$

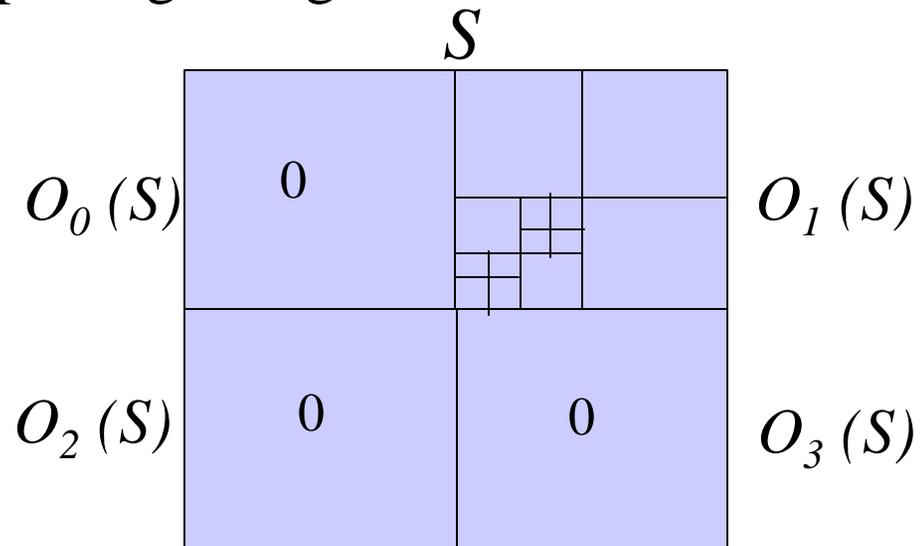
# Set Partitioning Rules of SPECK

## $S$ Partitioned into Offspring Sets

Recursive quadrature splitting of significant sets

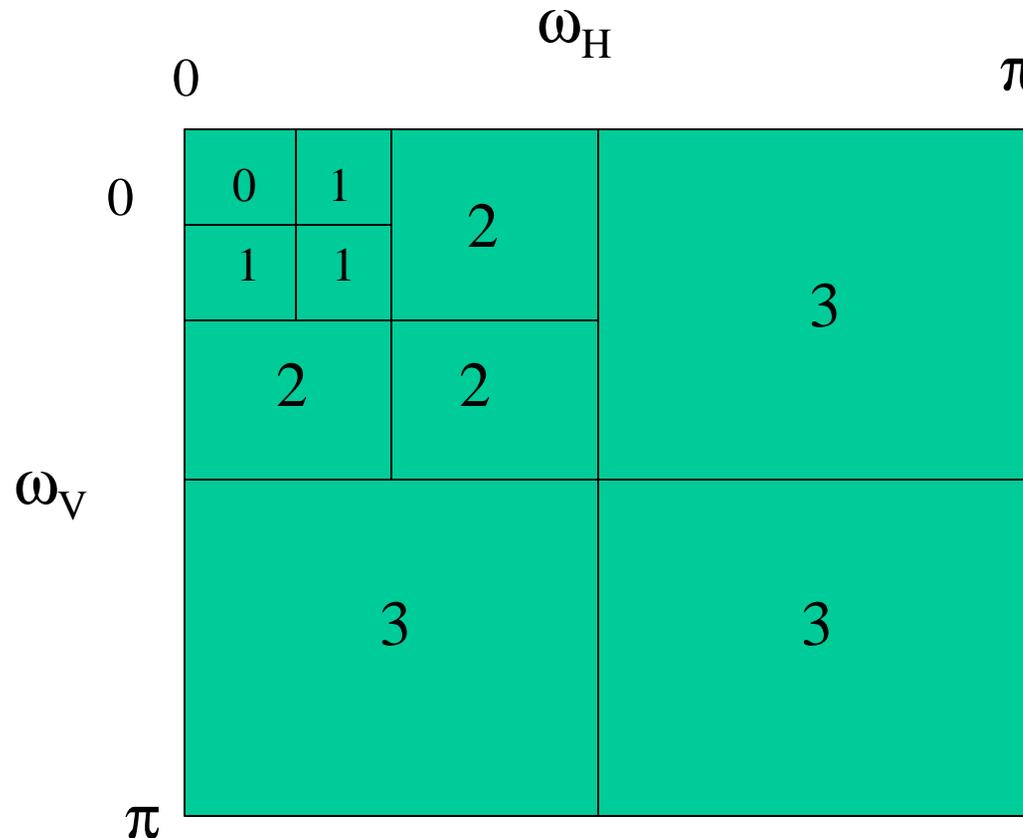
LIS - list of insignificant sets in increasing order of size starting with single element sets

LSP - list of significant single elements.



For given threshold or bit plane  $n$ , insignificant sets and coefficients go to LIS, significant coefficients to LSP.  
Decrease  $n$  by 1, repeat.

# Multi-resolution (Wavelet) Transform

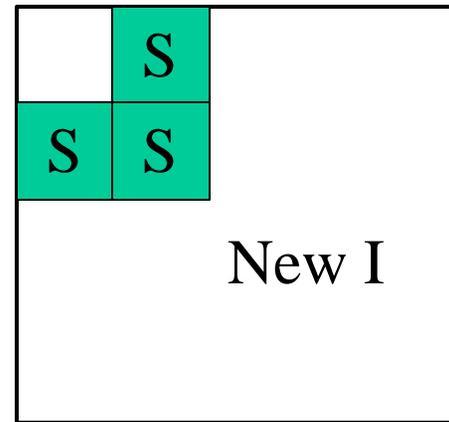
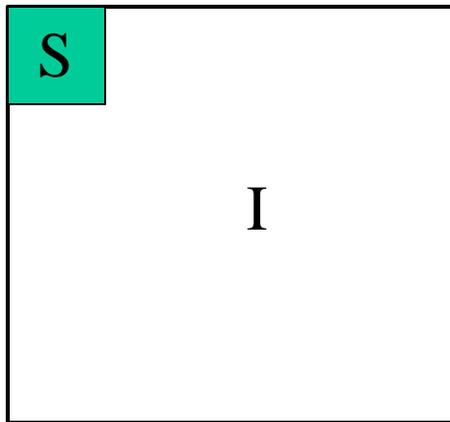


0 -> 1/8 res.  
 +  
 1,1,1 -> 1/4 res.  
 +  
 2,2,2 -> 1/2 res.  
 +  
 3,3,3 -> full res.

# Octave Band Partitioning

Test through full transform for each  $n$ ,

$$n = n_{\max}, n_{\max} - 1, n_{\max} - 2, \dots \quad n_{\max} = \max_{i,j} \lfloor \log_2 |c_{i,j}| \rfloor$$

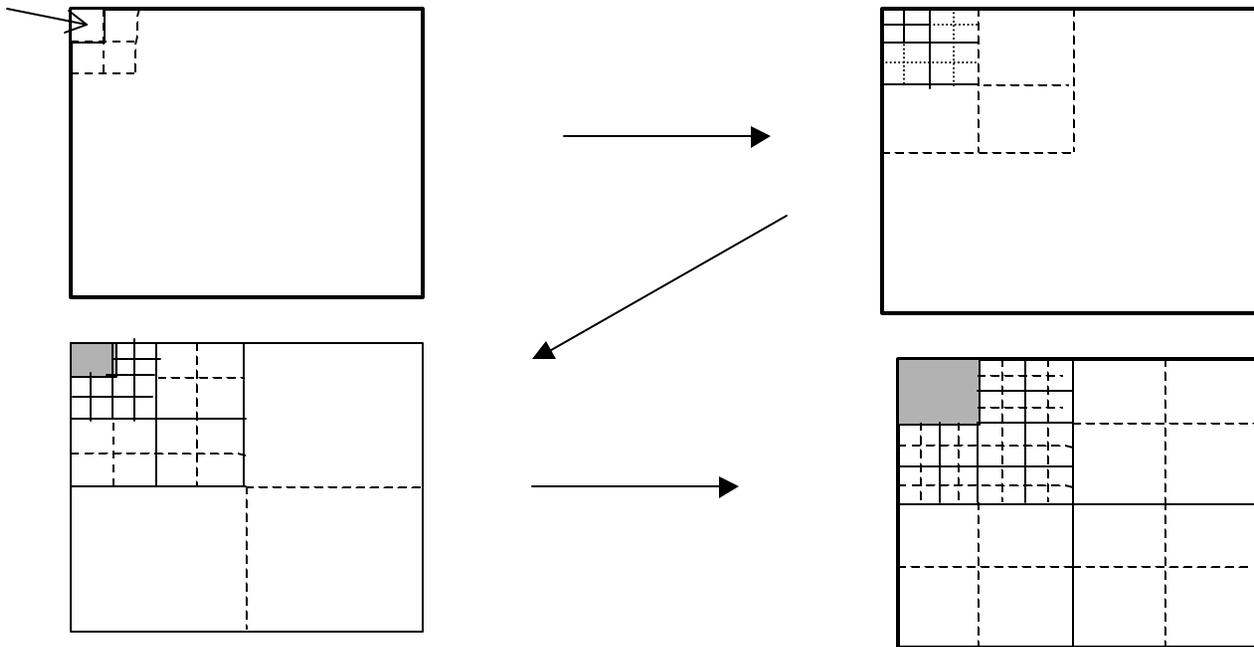


 is coded

# Subband Partitioning Order

- Partitioning proceeds from coarse to fine scale

Initial S



# Coding Sequence

- SPECK uses two lists LIS and LSP visited for significance testing in that order, for a given bit-plane ( $n$ ).
  - LIS: list of coordinates of insignificant coefficients organized into sublists of increasing size from single element sets at the top
    - Functionally equivalent to separate LIP list
  - LSP: list of coordinates of significant pixels
    - Send  $n$ -th bit of all pixels found to be significant in previous passes.

# LSP Sorting by Magnitude and Progressive Bit-Plane Transmission

Transmission of magnitude-sorted coefficients

	sign	S	S	S	S	S	S	S	S	S	S	S	S	S
msb	5	1	1	0	0	0	0	0	0	0	0	0	0	0
	4	Ⓡ	Ⓡ	1	1	0	0	0	0	0	0	0	0	0
	3	Ⓡ	Ⓡ	Ⓡ	Ⓡ	1	1	1	1	0	0	0	0	0
	2	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	1	1	1	1	1
	1	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ
lsb	0	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ	Ⓡ

Send  $n$ -th bit of all coefficients found to be significant in previous passes.

# Transmission of Bits

- LSP sign and refinement bits sent raw
  - Possible to encode by context-based arithmetic coding to achieve small gains
- Significance decision encoded or sent raw
  - Context-based arithmetic coding in 2x2 sets achieves some gains
  - Most entropy-coding gain can be obtained with fixed or semi-adaptive Huffman codes
- Fully adaptive entropy-coded version of SPECK called EZBC surpasses JPEG2000

# Pseudo-Code of SPECK Algorithm

## 1. Initialization

- Partition image transform  $\mathcal{X}$  into two sets:  $\mathcal{S} \equiv \text{root}$ , and  $\mathcal{I} \equiv \mathcal{X} - \mathcal{S}$
- output  $n = \lfloor \log_2(\max_{\forall(i,j) \in \mathcal{X}} |c_{i,j}|) \rfloor$
- add  $\mathcal{S}$  to LIS and set  $\text{LSP} = \phi$

## 2. Sorting Pass

- in increasing order of size  $\mathcal{C}$  of sets

– for each set  $\mathcal{S} \in \text{LIS}$ ,

\*  $\text{ProcessS}(\mathcal{S})$

●  $\text{ProcessI}()$

### 3. Refinement Pass

● for each  $(i, j) \in \text{LSP}$ , except those included in the last sorting pass, output the  $n$ th MSB of  $|c_{i,j}|$

### 4. Quantization Step

● decrement  $n$  by 1, and go to step 2

Process $\mathcal{S}(\mathcal{S})$  {

- output  $\mathcal{S}_n(\mathcal{S})$
- if  $\mathcal{S}_n(\mathcal{S}) = 1$ 
  - if  $\mathcal{S}$  is a coefficient, output sign of  $\mathcal{S}$  and add  $\mathcal{S}$  to LSP
  - else Code $\mathcal{S}(\mathcal{S})$
  - if  $\mathcal{S} \in \text{LIS}$ , remove  $\mathcal{S}$  from LIS
- else

– if  $S \notin \text{LIS}$ , add  $S$  to LIS } }

CodeS( $\mathcal{S}$ ) {

- Partition  $\mathcal{S}$  into four equal subsets  $\mathcal{O}(\mathcal{S})$
- for each  $\mathcal{O}(\mathcal{S})$ 
  - output  $\mathcal{S}_n(\mathcal{O}(\mathcal{S}))$
  - if  $\mathcal{S}_n(\mathcal{O}(\mathcal{S})) = 1$ 
    - \* if  $\mathcal{O}(\mathcal{S})$  is a coefficient, output sign of  $\mathcal{O}(\mathcal{S})$  and add  $\mathcal{O}(\mathcal{S})$  to LSP

```
* else CodeS( $\mathcal{O}(\mathcal{S})$ )  
- else  
* add  $\mathcal{O}(\mathcal{S})$  to LIS }
```

```
ProcessI() {
```

- output  $\mathcal{S}_n(\mathcal{I})$
- if  $\mathcal{S}_n(\mathcal{I}) = 1$ 
  - CodeI() }

CodeI() {

- Partition  $\mathcal{I}$  into four sets — three  $\mathcal{S}$  and one  $\mathcal{I}$

- for each of the three sets  $\mathcal{S}$

– ProcessS( $\mathcal{S}$ )

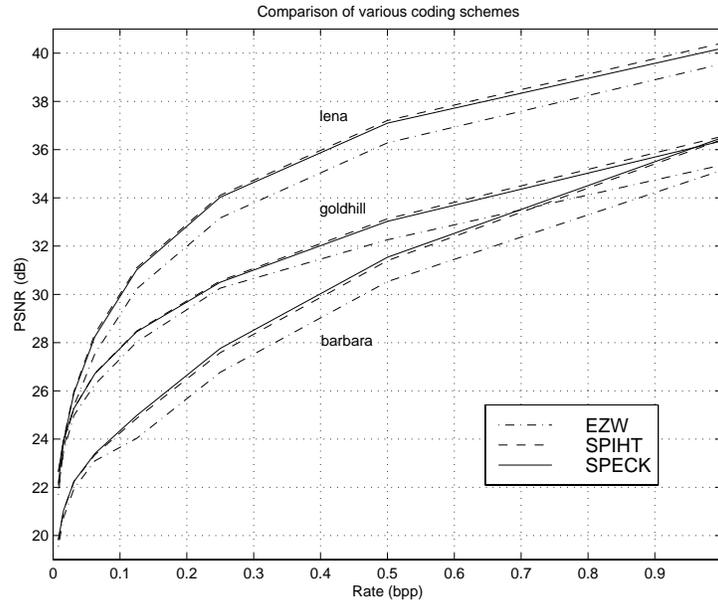
- ProcessI() }

	0	1	2	3	4	5	6	7
0	63	-34	49	10	7	13	-12	7
1	-31	23	14	-13	3	4	6	-1
2	15	14	3	-12	5	-7	3	9
3	-9	-7	14	8	4	-2	3	2
4	-5	9	-1	47	4	6	-2	2
5	3	0	-3	2	3	-2	0	4
6	2	-3	6	-4	3	6	3	6
7	5	11	5	6	0	3	-4	4

Example of wavelet transform

Comment	Point or Set Tested	Output Bit	Action	Control Lists
n=5 Sorting				LIS = $\{(0,0)\mathbf{1}\}$ LSP = $\phi$
	$S^1(0,0)$	1	quad split, add to LIS(0)	LIS = $\{(0,0)\mathbf{0}, (0,1)\mathbf{0}, (0,1)\mathbf{0}, (1,0)\mathbf{0}, (1,1)\mathbf{0}\}$ LSP = $\phi$
	(0,0)	1+	(0,0) to LSP	LIS = $\{(0,1)\mathbf{0}, (0,1)\mathbf{0}, (1,0)\mathbf{0}, (1,1)\mathbf{0}\}$ LSP = $\{(0,0)\}$
	(0,1)	1-	(0,1) to LSP	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}$ LSP = $\{(0,0), (0,1)\}$
	(1,0)	0	none	
	(1,1)	0	none	
	$S^1(0,2)$	1	quad split, add to LIS(0)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}, (0,2)\mathbf{0}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}\}$
	(0,2)	1+	(0,2) to LSP	LSP = $\{(0,0), (0,1), (0,2)\}$ LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}\}$
	(0,3)	0	none	
	(1,2)	0	none	
	(1,3)	0	none	
	$S^1(2,0)$	0	add to LIS(1)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}, (2,0)\mathbf{1}\}$
	$S^1(2,3)$	0	add to LIS(1)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}\}$
	$S^2(0,4)$	0	add to LIS(1)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}\}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}, (0,4)\mathbf{2}\}$
	$S^2(4,0)$	1	quad split, add to LIS(1)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}, (0,3)\mathbf{0}, (1,2)\mathbf{0}, (1,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}, (4,0)\mathbf{1}, (4,2)\mathbf{1}, (6,0)\mathbf{1}, (6,2)\mathbf{1}, (0,4)\mathbf{2}\}$
	$S^1(4,0)$	0	none	
	$S^1(4,2)$	1	quad split, add to LIS(0)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}, (0,3)\mathbf{0}, (1,2)\mathbf{0}, (1,3)\mathbf{0}, (4,2)\mathbf{0}, (4,3)\mathbf{0}, (5,2)\mathbf{0}, (5,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}, (4,0)\mathbf{1}, (4,2)\mathbf{1}, (6,0)\mathbf{1}, (6,2)\mathbf{1}, (0,4)\mathbf{2}\}$
	(4,2)	0	none	
	(4,3)	1+	move (4,3) to LSP	LSP = $\{(0,0), (0,1), (0,2), (4,3)\}$ LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}, (0,3)\mathbf{0}\} (1,2)\mathbf{0}, (1,3)\mathbf{0}, (4,2)\mathbf{0}, (5,2)\mathbf{0}, (5,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}, (4,0)\mathbf{1}, (4,2)\mathbf{1}, (6,0)\mathbf{1}, (6,2)\mathbf{1}, (0,4)\mathbf{2}\}$
	(5,2)	0	none	
	(5,3)	0	none	
	$S^1(6,0)$	0	none	
	$S^1(6,2)$	0	none	
End n=5 Sorting	$S^2(4,4)$	0	add to LIS(2)	LIS = $\{(1,0)\mathbf{0}, (1,1)\mathbf{0}, (0,3)\mathbf{0}, (1,2)\mathbf{0}, (1,3)\mathbf{0}, (4,2)\mathbf{0}, (5,2)\mathbf{0}, (5,3)\mathbf{0}, (2,0)\mathbf{1}, (2,3)\mathbf{1}, (4,0)\mathbf{1}, (4,2)\mathbf{1}, (6,0)\mathbf{1}, (6,2)\mathbf{1}, (0,4)\mathbf{2}, (0,4)\mathbf{2}\}$ LSP = $\{(0,0), (0,1), (0,2), (4,3)\}$

Comment	Point or Set Tested	Output Bit	Action	Control Lists
n=4 Sorting				LIS = $\{(1,0)\mathbf{0},(1,1)\mathbf{0},(0,3)\mathbf{0},(1,2)\mathbf{0},(1,3)\mathbf{0},(4,2)\mathbf{0},(5,2)\mathbf{0},(5,3)\mathbf{0},(2,0)\mathbf{1},(2,3)\mathbf{1},(4,0)\mathbf{1},(4,2)\mathbf{1},(6,0)\mathbf{1},(6,2)\mathbf{1},(0,4)\mathbf{2},(0,4)\mathbf{2}\}$ LSP = $\{(0,0),(0,1),(0,2),(4,3)\}$
Test LIS(0)	(1,0)	1-	(1,0) to LSP	
	(1,1)	1+	(1,1) to LSP	LIS = $\{(0,3)\mathbf{0},(1,2)\mathbf{0},(1,3)\mathbf{0},(4,2)\mathbf{0},(5,2)\mathbf{0},(5,3)\mathbf{0},(2,0)\mathbf{1},(2,3)\mathbf{1},(4,0)\mathbf{1},(4,2)\mathbf{1},(6,0)\mathbf{1},(6,2)\mathbf{1},(0,4)\mathbf{2},(0,4)\mathbf{2}\}$ LSP = $\{(0,0),(0,1),(0,2),(4,3),(1,0),(1,1)\}$
	(0,3)	0	none	
	(1,2)	0	none	
	(1,3)	0	none	
	(4,2)	0	none	
	(5,2)	0	none	
	(5,3)	0	none	
Test LIS(1)	$S^1(2,0)$	0	none	
	$S^1(2,3)$	0	none	
	$S^1(4,0)$	0	none	
	$S^1(6,0)$	0	none	
	$S^1(6,2)$	0	none	
Test LIS(2)	$S^2(0,4)$	0	none	
	$S^2(4,4)$	0	none	
Refinement	(0,0)	1	decoder adds $2^4$	
	(0,1)	0	decoder subtracts 0	
	(0,2)	1	decoder adds $2^4$	
	(4,3)	0	decoder adds 0	
End n=4				



**Figure 5.** Comparative evaluation of the new coding method at low rates

Coding method	0.25 bpp	0.5 bpp	1.0 bpp
EZW	33.17 dB	36.28 dB	39.55 dB
AGP	34.10 dB	37.21 dB	40.38 dB
SPIHT	34.11 dB	37.21 dB	40.44 dB
SPECK	34.03 dB	37.10 dB	40.25 dB

**Table 1.** PSNR at various rates for Lena (512 x 512)

Coding method	0.25 bpp	0.5 bpp	1.0 bpp
EZW	26.77 dB	30.53 dB	35.14 dB
AGP	27.81 dB	31.61 dB	36.55 dB
SPIHT	27.58 dB	31.40 dB	36.41 dB
SPECK	27.76 dB	31.54 dB	36.49 dB

**Table 2.** PSNR at various rates for Barbara (512 x 512)

Coding method	0.25 bpp	0.5 bpp	1.0 bpp
EZW	30.31 dB	32.87 dB	36.20 dB
AGP	30.53 dB	33.13 dB	36.53 dB
SPIHT	30.56 dB	33.13 dB	36.55 dB
SPECK	30.50 dB	33.03 dB	36.36 dB

**Table 3.** PSNR at various rates for Goldhill (512 x 512)



**Figure 6.** Coding results of SPECK on Barbara: Original (left), 1 bpp (right)



**Figure 7.** Coding results of SPECK on Barbara: 0.5 bpp (left), 0.25 bpp (right)

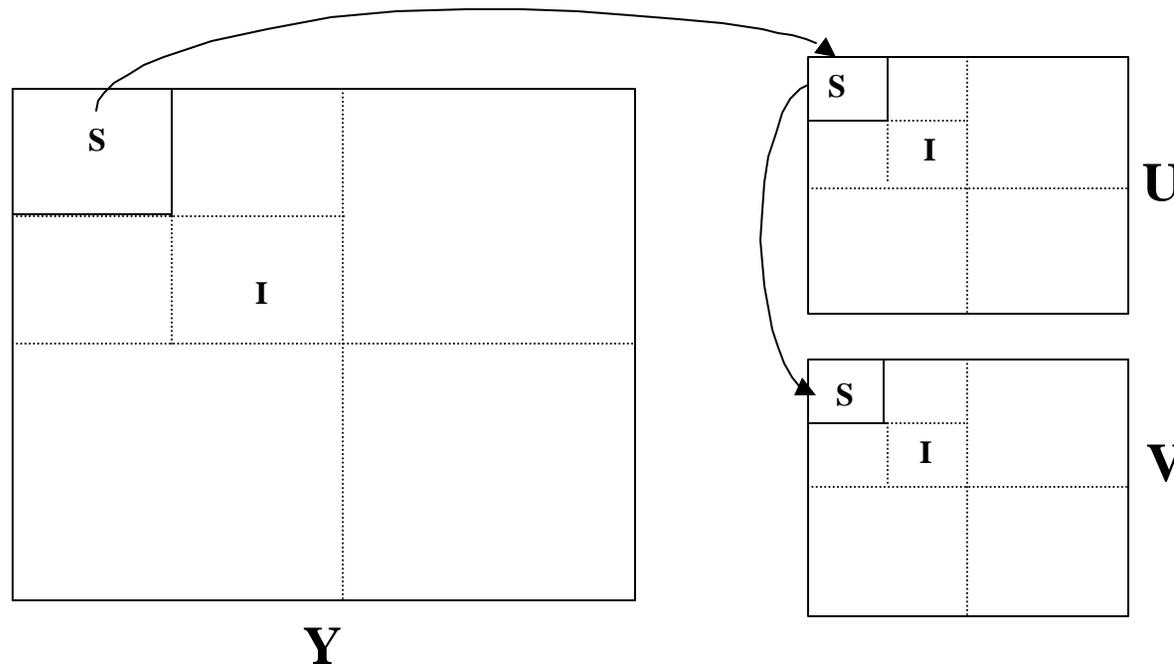


**Figure 8.** Coding results of SPECK on Goldhill: Original (left), 1 bpp (right)



**Figure 9.** Coding results of SPECK on Goldhill: 0.5 bpp (left), 0.25 bpp (right)

- For a given threshold; the luminance component is first divided into an 'S' and an 'I' set (see figure) and processed.
- Following this, the chrominance components are divided into an 'S' and an 'I' set and processed *at the same threshold*.
- The threshold is reduced by half (bit-plane coding) after the refinement pass and the algorithm repeats.



## Comparison of various color codecs

KBits	Y/C	JPEG 2000	SPIHT	CSPECK	MPEG-4
20.9	Y	34.65	35.40	<b>35.83</b>	35.09
(Hall)	C	<b>41.27</b>	39.73	37.62	39.78
28.5	Y	37.73	38.05	<b>38.50</b>	37.82
(Hall)	C	<b>43.14</b>	40.71	39.79	41.16
19.3	Y	33.65	34.33	<b>34.77</b>	33.95
(foreman)	C	<b>42.54</b>	40.32	37.42	40.56
28.7	Y	37.42	37.53	<b>37.71</b>	37.11
(foreman)	C	<b>43.70</b>	43.04	40.61	42.08

these figures indicate PSNR (in dB)

Original Image "Foreman.QCIF"



CSPECK (19.3 Kbits)



SPIHT (19.3 Kbits)



JPEG2000 (19.3 Kbits)



# Motion-SPECK vs. Motion-JPEG2000

Codec	Mean PSNR in dB			Decoding Times * (sec)
	Y	U	V	
<i>“Carphone” (97 frames) at 0.5 bits/pixel/frame</i>				
<b>Motion-JPEG2000</b>	31.23	38.28	39.17	8.43
<b>Motion-SPECK</b>	32.56	35.97	36.25	8.74
<i>“Trevor” (150 frames) at 0.25 bits/pixel/frame</i>				
<b>Motion-JPEG2000</b>	28.59	36.73	37.28	11.39
<b>Motion-SPECK</b>	30.59	34.26	35.14	12.10

\*Decoding time is based on Sun SPARC 10



(a)



(b)



(c)

- (a) Original image, frame no. 77 of “Carphone” sequence
- (b) Motion-SPECK reconstructed (0.5bpp/frame)
- (c) Motion-JPEG2000 reconstructed (0.5bpp/frame)

# Embedding Issues

- Full transform SPECK (and SPIHT) fully bit-embedded
  - next bit conveys less value information than previous one
- Full bit-embedding costs in speed and complexity
  - many applications do not require full bit-embedding and/or can not afford it (viz., geographic images, real-time animation)
- SPECK (and SPIHT) allow relaxation to *value embedding* by skipping refinement pass and sending all lower bit planes immediately for each new LSP coefficient.
  - *can not be done in JPEG2000*

# Small Memory Implementations

- SPECK used in small subband blocks (SBHP)
  - replaced coding of subband blocks in JPEG2000 framework
- SPIHT implemented in JPEG2000 framework
  - SPIHT coding applied to small transform tree-blocks independently
    - Same could be done with SPECK
- Embedded bit streams from independent tree-block or subband block encoders are packetized and multiplexed to generate a progressive main bit stream

- Wavelet transform and set partition coding bring many desirable features:
  - Scalability in resolution and fidelity
  - Low complexity in computation and memory
    - Fast encoding and decoding
- Key to low complexity
  - set partitioning and testing for set significance
    - Adaptive techniques with higher complexity
      - SLCC (Chai et al), PACC (Marpe & Cycon), GTW (Hong & Ladner)
- Other features
  - Region of interest (ROI) fidelity enhancement
  - Error resilient bitstream
  - Parallelizable for embedded hardware applications